

Planned Reports to Audit & Governance Committee (Draft)

| | Report | Committee Date | Report of | Comments |
|---|--|-----------------------|---|--|
| 1 | Internal Audit annual & quarterly update | June | Head of Internal Audit | |
| 2 | Risk Management quarterly update | June | Head of Internal Audit | |
| 3 | Review of the effectiveness of Internal Control Environment | June | Head of Internal Audit | To include the review of the effectiveness of internal audit, compliance with PSIAS, roles of the CFO and HIAS |
| 4 | Counter Fraud update | June | Head of Internal Audit | |
| 5 | Role of the Audit Committee | June | Grant Thornton | Presentation/training |
| 1 | Draft Annual Statement of Accounts | June | Executive Director Corporate Services | |
| 2 | Annual Governance Statement & Code of Corporate Governance | June | Head of Internal Audit | |
| 3 | Review of the Constitution & Scheme of Delegation for Officers | June | Solicitor to the Council and Monitoring Officer | |
| 4 | Audit & Governance Committee Update | June | Grant Thornton | |
| 5 | Fee Letter | June | Grant Thornton | |
| 6 | RIPA Quarterly Report | June | Solicitor to the Council and Monitoring Officer | |

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|---|--|-----------------------|---|-----------------|
| 1 | Annual Statement of Accounts | September | Executive Director Corporate Services | |
| 2 | Audit Findings Report | September | Grant Thornton | |
| 3 | Internal Audit quarterly update | September | Head of Internal Audit | |
| 4 | Risk Management quarterly update | September | Head of Internal Audit | |
| 6 | Treasury Management Strategy Statement and Annual Investment Strategy Mid-year Review Report 2013/14 | September | Executive Director Corporate Services | |
| 7 | RIPA Quarterly Report | September | Solicitor to the Council and Monitoring Officer | |
| 8 | Local Government Ombudsman's Annual Review and Report 2013/14 | September | Solicitor to the Council and Monitoring Officer | |
| 1 | Annual Audit Letter 2013/14 | October | Grant Thornton | |
| 2 | Internal Audit quarterly update | October | Head of Internal Audit | |
| 3 | Risk Management quarterly update | October | Head of Internal Audit | |
| 4 | Annual Governance Statement update | October | Head of Internal Audit | |

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| 5 | Members/Standards | October | Solicitor to the Council & Monitoring Officer | |
| 6 | Anti Money Laundering Policy | October | Solicitor to the Council & Monitoring Officer | |
| 1 | Audit Report on Certification Work 2013/14 | January | Grant Thornton | |
| 2 | Audit Progress Report | January | Grant Thornton | |
| 3 | Internal Audit quarterly update | January | Head of Internal Audit | |
| 4 | Risk Management quarterly update | January | Head of Internal Audit | |
| 5 | Counter Fraud update | January | Head of Internal Audit | To include review of Counter Fraud Policy and Whistleblowing Policy |
| 6 | Review of Financial Guidance | January | Head of Internal Audit | |
| 7 | RIPA Quarterly Report | January | Solicitor to the Council and Monitoring Officer | |
| 8 | Treasury Management mid year monitoring report | January | Executive Director Corporate Services | |
| 1 | Final Accounts 2014/15 – Action Plan | March | Director of Finance | |
| 2 | Draft Audit Plan | March | Grant Thornton | |
| 3 | Draft Certification Work Plan | March | Grant Thornton | |

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| 4 | Audit Committee Update | March | Grant Thornton | |
| 5 | Auditing Standards | March | Grant Thornton | |
| 6 | Internal Audit Charter and Audit Plan | March | Head of Internal Audit | |
| 7 | Audit & Governance Committee Self Assessment | March | Head of Internal Audit | |
| 8 | RIPA Quarterly Report | March | Solicitor to the Council and Monitoring Officer | |
| 9 | Treasury Management Strategy and Prudential Indicators | March | Executive Director Corporate Services | |

Portfolio Holder CS - Portfolio Holder for Corporate Services & Assets